

WHOLESALE FINANCIAL LINES

# Appetite Guide, Success Stories and Contacts



| Wholesale



## For Our Partners

Backed by decades of combined experience, the AXIS Wholesale Financial Lines team helps businesses and insured individuals to identify obvious risks and uncover new and emerging ones. Our specialized policies cover financial institutions E&O management and corporate liabilities, directors and officers, employment practices, fiduciary liabilities, social engineering and more. Areas of concentration include commercial public, private, not-for-profit organizations, and financial institutions.



# Wholesale Financial Lines

## Appetite Guide

### Capacity:

\$25,000,000 limits of liability

### Primary and excess

### Shared/or separate limits available



### Private and Not-For-Profit Organizations

- Allied healthcare
- Manufacturing, distribution, wholesale
- Utilities, oil and gas, agriculture
- Retail, food and beverage, hospitality, restaurants
- General business services
- Professional services, marketing, consulting, logistics
- ESOPs
- HOAs
- Residential and commercial real estate
- Blockchain-related risks
- Early and development stage bio-tech
- Privately-held companies with under \$1 billion in annual revenues
- Not-for-profit organizations
- Portfolio companies and portfolio company programs
- PEOs (including client coverage)
- Casinos

### Public Companies

- Industrials
- Utilities/energy
- Materials
- Biotech
- IPO's
- deSPAC
- Entertainment
  - Casinos
  - Motion picture theaters
  - Motion picture and TV production
  - TV and radio broadcasting stations
- Retail and personal services
  - Grocery and department stores
  - Hospitality
  - Restaurants
- Manufacturers and processors
  - Apparel and accessories
  - Food
  - Cosmetics
  - Machinery and parts
  - Chemical products
- Agriculture, forestry and mining

This information is for descriptive purposes only and does not provide a complete summary of coverage. Consult the applicable policy for specific terms, conditions, limits, limitations and exclusions to coverage. Coverage is underwritten by a member company of the AXIS group of insurance companies. Coverage may not be available in all states and jurisdictions. Certain products may be offered by AXIS companies that are not licensed in the state and therefore are available only through a licensed surplus lines broker.



# Wholesale Commercial D&O

## Recent Wins

<b>Risk Description</b>	<b>State</b>	<b>Premium</b>
Electronic Equipment	Indiana	\$14,000
Payment Processor	Georgia	\$78,500
Real Estate Services	Florida	\$20,000
HR and Employment Services	Texas	\$15,000
Systems Software	New York	\$118,500
ATM Operator	Alaska	\$7,500
Testing Laboratory	Virginia	\$48,000
Leisure Products	Florida	\$19,000
Healthcare Technology	Nevada	\$30,000
Insurance Broker	Texas	\$16,000
Renewable Electricity	California	\$38,000
Defined Benefit Plan	Washington	\$40,000
Electric Power Plant	Massachusetts	\$25,000
Healthcare Services	Connecticut	\$45,000
Application Software	New York	\$12,000
Healthcare Clinic	New Jersey	\$29,000
Healthcare Services	Oregon	\$43,000
Biotechnology	California	\$43,000
Healthcare Equipment	Illinois	\$28,500
Food Retail	Arizona	\$75,000
Biotechnology	California	\$75,000
Healthcare Consulting	Kansas	\$80,500

These de-identified examples are included for informational purposes only to show market participation, and are not indicative of a pricing range for other submissions. Submissions will be evaluated on their own individual merits and quoted pursuant to underwriting appetite, coverages requested, risks presented and other underwriting criteria.



# Wholesale Financial Lines

## Appetite Guide

### **Capacity:**

Up to \$25M maximum line size per product

### **Primary and excess**

### **Multi-lines approach**



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### Target Classes

- Banks
  - Insurance Companies, risk retention groups, captives
  - Management liability for managed care companies
  - Finance companies
  - Leasing companies
  - Mutual funds
  - Investment advisers
  - Hedge funds
  - Business development companies
  - Real estate investment funds and trusts
  - Venture capital/private equity firms
  - Specialty lenders
  - FinTechs
  - Family office
  - Other financial institutions
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# Wholesale Financial Institutions

## Recent Wins

<b>Risk Description</b>	<b>State</b>	<b>Premium</b>
Investment Advisor	California	\$7,000
Investment Advisor	Iowa	\$9,000
Credit Fund	New York	\$11,500
Real Estate Investment Firm	Texas	\$14,000
Life Insurance Company	Texas	\$14,500
Credit Union Service Organizations	Delaware	\$15,000
Real Estate Investment Firm	Indiana	\$15,000
Hospitality Real Estate Fund	Michigan	\$15,000
Investment Advisor	Nevada	\$17,000
Real Estate Investment Firm	Colorado	\$17,500
Managing General Agent	New York	\$18,000
Specialty Lender	California	\$18,500
Private Equity	Tennessee	\$20,000
Specialty Lender	Illinois	\$22,000
Credit Union Service Organizations	Delaware	\$25,500
Alarm System Financing	Texas	\$28,500
Asset Manager	Colorado	\$29,000
Fintech	New York	\$38,000
Specialty Lender	Florida	\$59,000
Real Estate Investment Firm	California	\$65,000
Multifamily Real Estate Investment Firm	Florida	\$70,500
Insurance Reciprocal	New York	\$105,000

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# Specialty Solutions, Elevated

More than 20 years of commitment to the E&S space

- Deliver on promises
- Long-term partnerships
- Financial security with AM Best A XV rating\*
- Established and experienced claims handling



## Your Wholesale Financial Lines Contacts

### Leadership

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