

LOWER MIDDLE MARKET | FINANCIAL LINES

Appetite Guide and Contacts

Management liability coverage for privately-held companies, non-profit organizations, and financial institutions.



Specialty Solutions, Elevated

For Our Partners

We're rewriting the script so it's easy for you to find the coverage you really need for your small and middle market clients.

Our Lower Middle Market Financial Lines team doesn't do one-size-fits all. Instead, we have a dedicated underwriting team providing quick, flexible solutions. Our flat structure allows us to find risk solutions without needless back and forth. Instead of layers of authority, you'll get timely quotes. And you can rest assured that with a dedicated professional on every claim, we move proactively so your customers can get back to business.

Specialty Solutions, Elevated.



Coverage

Private Companies and Non-profit Organizations

Preferred Capacity:

\$5M per coverage part

Limits up to \$10M per coverage part



Target Classes

- Manufacturing, distribution, wholesale
- Oil and gas, natural resources, agriculture
- Retail, food and beverage
- Hospitality, restaurants
- General business services, professional services
- Marketing, technology, telecommunications, consulting, logistics
- Bio-tech
- Start-ups, early and development stage companies
- Private equity portfolio companies

Signature Solution

Privatus Platinum, a modular management liability insurance policy, offers flexible insurance solutions especially designed for managing the changing needs and risks faced by privately held companies and non-profit organizations. The modular nature of the product allows private companies to customize their insurance coverage to the areas they have identified as having the most exposure. The product suite includes:

- Management and entity liability (directors and officers liability)
- Employment practice liability
 - Workplace violence
- Fiduciary liability
- Commercial crime (fidelity/social engineering fraud)

This information is for descriptive purposes only and does not provide a complete summary of coverage. Consult the applicable policy for specific terms, conditions, limits, limitations and exclusions to coverage. Coverage is underwritten by a member company of the AXIS group of insurance companies. Coverage may not be available in all states and jurisdictions. Certain products may be offered by AXIS companies that are not licensed in the state and therefore are available only through a licensed surplus lines broker.



Coverage

Financial Institutions

Preferred Capacity:

\$5M per coverage part

Limits up to \$10M per coverage part



Today, it is imperative for financial institutions to remain vigilant and proactive in identifying and mitigating emerging areas of risk. The AXIS Lower Middle Market team provides a range of coverage options to safeguard against a wide spectrum of potential risks.

Target Classes

- Registered investment advisors of any size
- Mutual funds with under \$2B in assets under management
- Hedge funds, real estate funds, private equity and venture capital funds under \$1B in assets under management
- Insurance company professional liability for private companies with under \$500M in GWP
- Bankers professional liability for private banks under \$10B in assets
- Private D&O, EPL, and fiduciary liability for companies with under \$1B in assets

Solutions

- **AXIS Investment Management Liability Policy** — a modular form with the flexibility to provide E&O, D&O, registered fund, private fund, fiduciary, and EPL coverage (for investment advisors, hedge funds, and mutual funds)
- **AXIS Private Equity Liability Policy** — provides the ability for insureds to include coverage for EPL and fiduciary exposure beyond general partnership liability coverage
- **AXIS Financial Institution Bonds** for banks, insurance companies, and asset managers (Form 14, Form 24, and Form 25)
- **AXIS Bankers Professional Liability Insurance Policy** with the ability to include coverage for lenders liability exposure
- **AXIS Insurance Company E&O Liability Insurance Policy** — coverage for the variety of services provided by an insurance company to policyholders and customers
- **AXIS Side-A D&O and Difference-in-Conditions Liability Policy** — protecting the personal assets of directors and officers when they are not indemnified by the corporation
- **AXIS Excess Insurance Policy** — a Follow-Form policy that can be written over any primary form providing the coverages outlined above

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You may send submissions directly to our underwriters listed below.



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